

# MATH 566 — SECOND MIDTERM EXAM

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NAME: Solutions

1. (3+2+6+4 points) Let  $R$  be a binary relation on a set  $A$ .

(a) Define what it means for  $R$  to be reflexive, symmetric, and transitive.

The relation  $R$  is reflexive if for every  $a \in A$  one has  $aRa$ .

The relation  $R$  is symmetric if whenever  $x, y \in A$  are such that  $xRy$ , then  $yRx$ .

The relation  $R$  is transitive if whenever  $x, y, z \in A$  are such that  $xRy$  and  $yRz$ , then  $xRz$ .

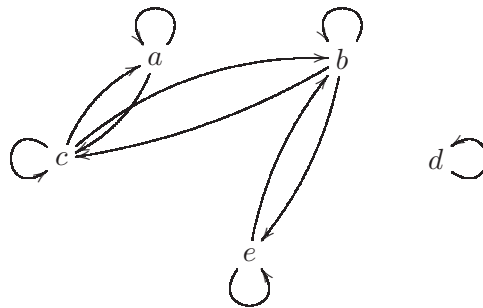
(b) Define what it means for  $R'$  to be the transitive closure of  $R$ .

The relation  $R'$  on  $A$  is the transitive closure of a relation  $R$  if it satisfies the following two conditions:

1.  $R \subseteq R'$

2. If  $S$  is a relation on  $A$  that is transitive and  $R \subseteq S$ , then  $R' \subseteq S$ .

(c) Let  $R$  be given by the following directed graph. Is  $R$  reflexive? symmetric? transitive? Be sure to give reasons.

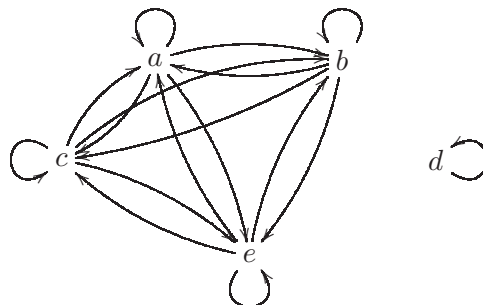


Reflexive: **yes**

Symmetric: **yes**

Transitive: **No,  $cRb$  and  $bRe$  but  $c$  is not related to  $e$**

(d) Draw the transitive closure  $R'$  of  $R$ .



2. (6+4 points) Consider the following algorithm:

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for  $k := 1$  to  $n - 1$ 
  for  $j := 1$  to  $k + 1$ 
     $x := a[k] + b[j]$ 
  next  $j$ 
next  $k$ 

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(a) Compute the actual number of elementary operations that must be performed when the algorithm segment is executed.

We construct a table to help set up the summation that gives the total number of elementary operations:

$k = 1$		$k = 2$			$\dots$	$k = n - 1$		
$j = 1$	$j = 2$	$j = 1$	$j = 2$	$j = 3$	$\dots$	$j = 1$	$\dots$	$j = n$
1	1	1	1	1	$\dots$	1	$\dots$	1

Thus we have the summation:

$$\begin{aligned}
 2 + 3 + 4 + \dots + n &= (1 + 2 + 3 + \dots + n) - 1 \\
 &= \frac{n(n+1)}{2} - 1 \\
 &= \frac{1}{2}n^2 + \frac{1}{2}n - 1.
 \end{aligned}$$

(b) Find the order of the algorithm segment from among the set of power functions.

The order of  $\frac{1}{2}n^2 + \frac{1}{2}n - 1$  is  $\Theta(n^2)$ .

3. (5 points each) (a) State in terms of an inequality what the statement “ $f(n)$  is  $O(1)$ ” means.

The statement  $f(n)$  is  $O(1)$  means that there exists a positive integer  $N$  and a real number  $c \geq 0$  so that  $|f(n)| \leq c$  for all  $n \geq N$ .

(b) Prove that  $\sum_{k=1}^n \frac{1}{k^5}$  is  $O(1)$ .

One can use an indefinite integral for this problem. One has

$$\begin{aligned} \sum_{k=2}^{\infty} \frac{1}{k^5} &\leq \int_2^{\infty} x^{-5} dx \\ &= \lim_{b \rightarrow \infty} \int_2^b x^{-5} dx \\ &= \lim_{b \rightarrow \infty} -\frac{1}{4}(b^{-4} - 2^{-4}) \\ &= 2^{-6}. \end{aligned}$$

Thus, we have that

$$\sum_{k=1}^{\infty} \frac{1}{k^5} \leq 1 + \frac{1}{2^6}$$

for all  $n$ .

(c) If  $f(n)$  is  $\Omega(1)$  and  $g(n)$  is  $\Omega(1)$ , is  $f(n) - g(n) = 0$ ? Is  $f(n) - g(n)$  of order  $\Omega(1)$ ? Be sure to justify your answers with proofs or counterexamples.

Let  $f(n) = 10$  and  $g(n) = 2$ , then  $f(n)$  and  $g(n)$  are both  $\Omega(1)$  but clearly  $f(n) - g(n) \neq 0$ . Now consider the case that  $f(n) = 1$  and  $g(n) = n$ . Then  $f(n)$  and  $g(n)$  are both  $\Omega(1)$ , but  $f(n) - g(n) = 1 - n$  which is not bounded from below, so is not  $\Omega(1)$ .

(d) If  $f(n)$  is  $O(n)$  and  $g(n)$  is  $O(n)$ , is  $f(n) + g(n)$  of order  $O(n)$ ? Be sure to justify your answer with proofs or counterexamples.

Since  $f(n)$  is  $O(n)$  we know there exists a constant  $c$  and a positive integer  $N$  so that  $|f(n)| \leq cn$  for all  $n \geq N$ . Similarly, there exists a  $M$  and  $d$  so that  $|g(n)| \leq dn$  for all  $n \geq M$ . Let  $T = \max(M, N)$ . Then for  $n \geq T$  we have

$$\begin{aligned} |f(n) + g(n)| &\leq |f(n)| + |g(n)| && \text{(triangle inequality)} \\ &\leq cn + dn \\ &= (c + d)n. \end{aligned}$$

Thus,  $f(n) + g(n)$  is  $O(n)$ .

4. (5 points each) A single pair of rabbits (male and female) is born at the beginning of a year. Assume the following conditions:

(1) Rabbit pairs are not fertile during their first 3 months of life, but thereafter give birth to five new male/female pairs at the end of every month.

(2) No rabbits die.

Let  $s_n$  = the number of pairs of rabbits alive at the end of month  $n$  for each integer  $n \geq 1$  and let  $s_0 = 1$ .

(a) Compute  $s_0, s_1, s_2, s_3, s_4, s_5, s_6, s_7, s_8$ .

We solve this by first forming a table:

Month	0	1	2	3	4	5	6	7	8
Fertile	0	0	0	1	1	1	1	6	11
Unfertile	1	1	1	0	5	10	15	15	40

Thus, we have that  $s_0 = 1 = s_1 = s_2 = s_3$ ,  $s_4 = 6$ ,  $s_5 = 11$ ,  $s_6 = 16$ ,  $s_7 = 21$  and  $s_8 = 51$ .

(b) Find a recurrence relation for  $s_n$ . (You may wish to check it on the data from part (a) to make sure it works!)

To find  $s_n$  we observe that we have all of the pairs of rabbits from the previous month,  $s_{n-1}$ , plus all of the pairs newly born. The number of newly born is given by  $5s_{n-4}$ . Thus,  $s_n = s_{n-1} + 5s_{n-4}$ . We can check this, for example  $s_8 = 51 = s_7 + 5s_4$ .

(c) How many rabbits will there be at the end of two years?

We need to compute  $s_{12}$ . Observe that we have

$$s_9 = s_8 + 5s_5 = 51 + 55 = 106$$

$$s_{10} = s_9 + 5s_6 = 106 + 80 = 186$$

$$s_{11} = s_{10} + 5s_7 = 186 + 105 = 291$$

$$s_{12} = s_{11} + 5s_8 = 291 + 255 = 546.$$

Of course, this is giving the number of pairs of rabbits, so we must multiply this by 2 to get the total number of rabbits, 1092 rabbits.

5. (5 points each) Prove the following properties:

(a) If  $k$  is an integer and  $x$  is a real number with  $2^k \leq x < 2^{k+1}$ , then  $\lfloor \log_2 x \rfloor = k$ .

Since  $\log_2$  is an increasing function, we can apply  $\log_2$  to the inequality  $2^k \leq x < 2^{k+1}$  to obtain  $k \leq \log_2 x < k + 1$  where we have used the fact that  $\log_2 x^b = b \log_2 x$  and  $\log_2 2 = 1$ . Thus, by definition of the floor function we have  $\lfloor \log_2 x \rfloor = k$ . ■

(b) For any odd integer  $n > 1$ ,  $\lfloor \log_2(n - 1) \rfloor = \lfloor \log_2 n \rfloor$ .

Let  $n$  be an odd integer. There exists a positive integer  $k$  so that  $2^k < n < 2^{k+1}$ . Note that the inequalities are strict because  $n$  is assumed to be odd. Applying part (a) gives that  $\lfloor \log_2 n \rfloor = k$ . Since the inequality  $2^k < n < 2^{k+1}$  is strict, we have that  $n$  is at least 1 more than  $2^k$ , so we have  $2^k \leq n - 1 < 2^{k+1}$ . Applying part (a) again we see that  $\lfloor \log_2(n - 1) \rfloor = k = \lfloor \log_2 n \rfloor$ . ■

6. (5 points each) (a) Prove that  $\sum_{k=0}^{\infty} 4kx^{4k+2} = \frac{4x^6}{(1-x^4)^2}$ .

Substituting  $x^4$  into the geometric series formula we obtain:

$$\sum_{k=0}^{\infty} x^{4k} = \frac{1}{1-x^4}.$$

Taking the derivative of each side of this equation we obtain:

$$\sum_{k=0}^{\infty} 4kx^{4k-1} = \frac{4x^3}{(1-x^4)^2}.$$

Multiplying both sides of this equation by  $x^3$  gives the desired result.

(b) Use part (a) (or any other valid method) to calculate  $\sum_{k=0}^{\infty} \frac{k}{2^{4k}}$ .

Plugging in  $x = \frac{1}{2}$  into the series from part (a) we observe first that the series converges at  $x = \frac{1}{2}$  because the geometric series converges there and then simple arithmetic gives the result.

7. (10 points) Use a recursion tree to determine the order of the recurrence

$$T(n) = T(n - 2) + 15n + 2.$$

Let  $n = 2k + r$  where  $r = 0, 1$ . The recurrence tree for  $T(n)$  is given by

$$\begin{array}{c}
 15n + 2 \\
 | \\
 15(n - 2) + 6 \\
 | \\
 15(n - 4) + 6 \\
 | \\
 \vdots \\
 | \\
 15(n - 2(k - 2)) + 2 \\
 | \\
 T(r + 2).
 \end{array}$$

Thus, we have

$$\begin{aligned}
 T(n) &= \sum_{j=0}^{k-2} (15(n - 2j) + 2) + T(r + 2) \\
 &= 15n \sum_{j=0}^{k-2} 1 - 30 \sum_{j=0}^{k-2} j + 2 \sum_{j=0}^{k-2} 1 + T(r + 2) \\
 &= 15n(k - 1) - 30 \left( \frac{(k - 2)(k - 1)}{2} \right) + 2(k - 1) + T(r + 2) \\
 &= 15n \left( \frac{n - r}{2} - 1 \right) - 15 \left( \frac{n - r}{2} - 2 \right) \left( \frac{n - r}{2} - 1 \right) + 2 \left( \frac{n - r}{2} - 1 \right) + T(r + 2) \\
 &= \Theta(n^2).
 \end{aligned}$$

8. (10 points) You may use whatever method you find easiest (as long as it is a valid method!!) to determine the order of the recurrence

$$T(n) = 4T\left(\left\lceil \frac{n}{2} \right\rceil\right) + 2n^3 + \log_2 n^2.$$

We can use the Master Method on this recursion with  $a = 4$ ,  $b = 2$ , and  $f(n) = 2n^3 + \log_2 n^2$ . Observe that  $\log_b a = \log_2 4 = 2$ . Thus we want to compare  $f(n)$  with  $n^2$ . It is clear that  $f(n)$  is  $\Omega(n^{\log_b a + \varepsilon})$  for  $\varepsilon = 1$ . Thus, we would like to apply part 3 of the master method. However, before we can do that we need to show that for large enough  $n$  there exists a constant  $c < 1$  so that  $af(n/b) \leq cf(n)$ . Observe that we have

$$\begin{aligned} 4f(n/2) &= n^3 + 8 \log_2 n - 8 \\ &= n^3 + 2 \log_2 n^2 + 2 \log_2 n^2 - 8 \\ &> cf(n) \end{aligned}$$

for any  $0 < c < 1$  for large enough  $n$  as  $2 \log_2 n^2 - 8 > 0$  for large  $n$ . In particular, we can choose  $c = 1/2$  and  $n \geq 4$ . Thus, the master method gives that  $T(n)$  is  $\Theta(f(n)) = \Theta(n^3)$ .