

E/\mathbb{Q} elliptic curve, $E(\mathbb{Q}) = \text{Mordell-Weil group}$
 $\cong \mathbb{Z}^r \oplus (\text{finite group})$

Theory Sem
9-16-2
PS1
C. Skinner

$l = \text{prime}$, $N_l = \# E(\mathbb{F}_l)$, $l \nmid N_l \leftarrow \text{conductor}$

$$a_l = 1 + l - N_l$$

$$L_{(N_E)}(E, s) = \prod_{l \nmid N_E} (1 - a_l l^{-s} + l^{1-2s})^{-1}$$

- converges for $\text{Re}(s) > 3/2$
- analytic cont to all of \mathbb{C}

Abuse notation and put $L(E, s) = L_{(N_E)}(E, s)$.

at $s=1$: $L(E, s)$ "looks like" $\prod_{l \nmid N_E} \left(\frac{N_l}{l}\right)^{-1}$

Look at $\prod_{l \leq P} \left(\frac{N_l}{l}\right)^{-1}$ for some finite P .

Birch + Swinnerton-Dyer: Observed this quantity behaves like $(\log P)^r$ by looking at empirical evidence.

They conjectured:

$$\text{ord}_{s=1} L(E, s) = r = \text{rank of } E(\mathbb{Q}).$$

Evidence:

- ① Known to be true if $\text{ord}_{s=1} L(E, s) \leq 1$
(Gross-Zagier, Kolyvagin, Coates-Wiles)
- ② Known for constant abelian varieties over finite fields
(Tate-Artin, Milne)
- ③ Various calculations
(look at Stein at Harvard)

$p = \text{prime}$

$$A = E[p^\infty] \cong \mathbb{Q}_p/\mathbb{Z}_p \times \mathbb{Q}_p/\mathbb{Z}_p$$

$$T = \varprojlim_n E[p^n] \cong \text{Hom}(\mathbb{Q}_p/\mathbb{Z}_p, A) \cong \mathbb{Z}_p^2$$

$G_{\mathbb{Q}}$ always have action here

$$V = T \otimes_{\mathbb{Z}_p} \mathbb{Q}_p \cong \mathbb{Q}_p^2$$

$$S(E) = S_p(E) = \text{Ker} \left\{ H^1(\mathbb{Q}, A) \rightarrow \bigoplus_{\ell} H^1(\mathbb{Q}_{\ell}, E(\overline{\mathbb{Q}}_{\ell})) \right\}$$
 is

the p -adic Selmer group.

$$\text{III}(E) = \text{III}_p(E) = \text{Ker} \left\{ H^1(\mathbb{Q}, E(\overline{\mathbb{Q}})) \rightarrow \bigoplus_{\ell} H^1(\mathbb{Q}_{\ell}, E(\overline{\mathbb{Q}}_{\ell})) \right\}$$
 is

the Tate-Shafarevich group.

$$0 \rightarrow E[p^n] \rightarrow E(\overline{\mathbb{Q}}) \xrightarrow{r^n} E(\overline{\mathbb{Q}}) \rightarrow 0 \Rightarrow \text{by Galois coh.}$$

$$0 \rightarrow E(\mathbb{Q}) \otimes \mathbb{Q}_p/\mathbb{Z}_p \rightarrow S_p(E) \rightarrow \text{III}_p(E) \rightarrow 0$$

Conjecture: $\# \text{III}(E) < \infty$

This implies the p -divisible rank of $E(\mathbb{Q}) \otimes \mathbb{Q}_p/\mathbb{Z}_p = \text{rk } E(\mathbb{Q})$
 $p\text{-div "rk" } S_p(E)$

"Kummer seq for elliptic curves"

$$0 \rightarrow \varprojlim_n E(\mathbb{Q}_p)/p^n E(\mathbb{Q}_p) \rightarrow H^1(\mathbb{Q}_p, T)$$

$\otimes_{\mathbb{Z}_p} \mathbb{Q}_p$ gives

$$0 \rightarrow E(\mathbb{Q}_p) \otimes \mathbb{Q}_p \xrightarrow{\partial_{\ell}} H^1(\mathbb{Q}_p, V)$$

$\ell \neq p$:

$\text{im}(\partial_{\ell}) = \text{unramified cocycles}$

$$= \text{Ker} \left\{ H^1(\mathbb{Q}_{\ell}, V) \rightarrow H^1(I_{\ell}, V) \right\}$$

\uparrow
full Galois grp

$$= H^1(\text{Gal}(\mathbb{Q}_{\ell}^{\text{un}}/\mathbb{Q}_{\ell}), V I_{\ell})$$

$l=p:$

less nice description

Fontaine's ring of
p-adic periods

$$\text{im}(\partial_p) = \text{Ker} \left\{ H^1(\mathbb{Q}_p, V) \rightarrow H^1(\mathbb{I}_p, B_{\text{cris}} \otimes_{\mathbb{Q}_p} V) \right\}$$

$$H_f^l(\mathbb{Q}_p, V) = \text{im}(\partial_l) \text{ for all } l.$$

$$S(A) = \left\{ c \in H^1(\mathbb{Q}, A) : \text{res}_\ell c \in \text{im} \left\{ H_f^1(\mathbb{Q}_\ell, V) \rightarrow H^1(\mathbb{Q}_\ell, A) \right\} \right\}$$

it turns out that $S(A) = S_p(E)$.

$$S(V) = \left\{ c \in H^1(\mathbb{Q}, V) : \text{res}_\ell c \in H_f^1(\mathbb{Q}_\ell, V) \right\}$$

$$= \text{Ker} \left\{ H^1(\mathbb{Q}, V) \rightarrow \bigoplus_{\ell \neq p} H^1(\mathbb{I}_\ell, V) \oplus H^1(\mathbb{I}_p, B_{\text{cris}} \otimes V) \right\}$$

$$\dim_{\mathbb{Q}_p} S(V) = \dim_{\mathbb{K}} S(A) = \dim_{\mathbb{K}} S_p(E) = r_{\mathbb{K}}(E) = r$$

BSD-Conjecture revisited: $\text{ord}_{s=1} L(E, s) = \dim_{\mathbb{Q}_p} S(V)$

V comes from a motive
and $L(E, s)$ is just the L-fctn
of that motive.

As we have "freed" ourselves from geometry and the world of elliptic curves. We can now generalize this conjecture:

- Bloch-Kato
- Fontaine - P.R.
- (Deligne, Beilinson)

$E \longrightarrow$ wt 2 modular form

$$F = \sum_{n=1}^{\infty} a_n q^n \quad q = e^{2\pi i z}$$

normalized new form
($a_1 = 2$)

$$L(E, s) = L(F, s) = \sum a_n n^{-s}$$

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E



V

F



V_F - dual of usual representation

← means dual
 $(V \cong V_F(1))$

$$S(V_F(1)) = S(V)$$

$$\text{ord}_{s=1} L(F, s) = \dim_{\mathbb{Q}_p} S(V_F(1))$$

No reason to stick to wt 2.

$F = \sum_{n=1}^{\infty} a_n q^n$ a normalized newform of wt $2k$.

V_F - p-adic representation - 2-dim over some finite ext K of \mathbb{Q}_p - dual of usual one.

Selmer group for $V_F(n) = V_F \otimes_{\mathbb{Q}_p} \mathbb{Q}_p(n)$

$G_{\mathbb{Q}}$ acts through the n^{th} power of the cycl. character

Frobenius acts as l^n ($l \neq p$)

$S(V_F(n))$

$$H'_F(\mathbb{Q}, V_F(n)) = \text{Ker} \left\{ H'(\mathbb{Q}, V_F(n)) \rightarrow \bigoplus_{l \neq p} H'(\mathbb{I}_l, V_F(n)) \oplus H'(\mathbb{I}_p, \text{Beris} \otimes V_F(n)) \right\}$$

Conjecture:

$$\text{ord}_{s=n} L(F, s) = \dim_{\mathbb{Q}_p} S(V_F(n))$$

Interesting when $s=k$ since $L(F, s)$ can vanish at $s=k$.

Thm (G-Z, Nekovar, Skinner-Urbani): Suppose F is a normalized

newform of wt $2k$ on $\Gamma_0(N)$ (trivial character). If

$\text{ord}_{s=k}(L(F,s))$ is odd then $H_f^1(\mathbb{Q}, V_F(k)) \neq 0$

($\dim \geq 1$) for all primes p that are ordinary for F . (ie., $|a_p|_p = 1$) provided a certain hypothesis

holds for Galois reps assoc. to Siegel modular forms

(true if $N=1$)

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Note: if $N=1$, k odd, then the sign of the functional eq. of $L(F,s)$ is $i^{2k} = -1$, so have odd order of vanishing.

How to prove such a result:

$H^1(\mathbb{Q}, V_F(n))$ classifies $G_{\mathbb{Q}}$ -extensions

$$\begin{array}{ccccccc} 0 & \rightarrow & K(n) & \rightarrow & M & \rightarrow & V_F^{\vee} \rightarrow 0 \\ & & & & \uparrow & & \cup \\ & & & & \text{dim } 3 & & \text{dual of } V_F \end{array}$$

$H^1(\mathbb{Q}, V_F(n))$

via

$H_f^1(\mathbb{Q}, V_F(n))$ extensions that are

- (i) split as I_{ℓ} -ext for all $\ell \neq p$
- (ii) "crystalline at p "

Where to find them? Try automorphic forms.

Since $\dim_{\mathbb{K}} M = 3$, it suggests trying $U(3)$ - have to deal w/ endoscopic grps

GL_3 - in general don't know that we have Gal. reps.

We look at $GS_p(4)$ - 4 dimensional Galois reps.

Siegel Modular Forms:

$$H_2 = \left\{ \underset{\substack{= \\ \mathbb{Z}}}{X+iY}, \text{ symm}, Y > 0 \right\}$$

$$f: H_2 \rightarrow \mathbb{C}$$

$$f((AZ+B)(CZ+D)^{-1}) = \det(CZ+D)^k f(z), \quad \begin{matrix} \text{"(K,K)"} \\ (A \ B) \\ (C \ D) \in \Gamma \subseteq Sp_4(\mathbb{Z}) \end{matrix}$$

↑
can generalize this to other automorphy factors
(K₁, K₂)

Have notion of Hecke operators and eigenforms

$$f \rightarrow \text{two L-series} \begin{cases} \rightarrow \text{standard (degree 5)} \\ \rightarrow \text{Spinor (degree 4)} \end{cases}$$

- Siegel E.f. $f \rightarrow V_f$ 4-dim Galois rep
s.t. $L_{\text{Spinor}}(f, s) = L(V_f, s)$
(up to places of bad reduction)

- F wt $2k-2$ modular form on $\Gamma_0(N)$. s.t. $L(F, k-1) \neq 0$

→ Siegel eigenform \mathcal{F} of wt k s.t.

[(Saito Kurokawa lift.) (Zagier, Eichler, P-S)]

$$L_{\text{Spinor}}(\mathcal{F}, s) = L(F, s) J(s-k+1) J(s-k+2)$$

$$V_{\mathcal{F}}^{\text{ss}} = \begin{pmatrix} \Sigma^{2-k} & & \\ & \Sigma^{1-k} & \\ & & V_F \end{pmatrix}$$

Constructing Extension:

- using ordinarity of F at p we can put \mathcal{F} into a 2-variable p -adic family of Siegel eigenforms
- if \mathcal{F} is "minimally ramified" then the specializations of \mathcal{G} are generically irreducible.

minimally ramified just means that the level \mathcal{O}_F is as minimally ramified as can expect:

$$F = \mathcal{K}_F = \otimes \mathcal{K}_{F,\ell}$$

$$\mathcal{O}_F = \mathcal{O}_{\mathcal{K}_F} = \otimes \mathcal{O}_{\mathcal{K}_{F,\ell}}$$

$\mathcal{O}_{\mathcal{K}_{F,\ell}}$ = Langland's subgt. of $\text{Ind}_{\text{Siegel parahoric}}^{\text{GSp}(4)} \mathcal{K}_{F,\ell}$

(true for all unramified primes)

minimally ramified things exist only if $\text{ord}_{s=1} L(F,s)$ is odd.

Upshot. is that we have a Galois rep.

$$\rho_{\mathcal{O}_F} : G_{\mathcal{O}_F} \rightarrow \text{GL}_4(R)$$

$R = \text{valuation ring of some étale cover of } \mathbb{Z}_p[[t_1, t_2]]$
 $\mathfrak{p} = \text{prime of } R \text{ corresponds to specializing at } (k, k)$

s.t.

$$\rho_{\mathcal{O}_F} \text{ mod } \mathfrak{p} = \begin{pmatrix} \Sigma^{2-k} \times & \text{one ext} \\ \varepsilon^{1-k} & \text{other ext} \\ \hline & V_F \end{pmatrix}$$

non-split. chn fact

we have two possible extensions (one must exist)

$$0 \rightarrow K(2-k) \rightarrow M_1 \rightarrow V_F \rightarrow 0$$

$$0 \rightarrow K(1-k) \rightarrow M_2 \rightarrow V_F \rightarrow 0$$

WANT this one to be non-trivial.

Can show it always exists...

It also needs to have the correct properties at primes l .

$l \neq p$: hypothesis on Galois reps for Siegel modular

(NOT A PROOF
for level 1)

forms intervenes

(close to being verified for F of sq. free level)

$l = p$: can verify that this is crystalline.