

Ranks of Selmer Groups Part 1:

E/K elliptic curve, $K = \#$ field p prime.

$$0 \rightarrow E[p^n] \rightarrow E \xrightarrow{p^n} E \rightarrow 0$$

Take Galois cohom.

$$0 \rightarrow E(K) \otimes_{\mathbb{Z}} \mathbb{Z}/p^n \mathbb{Z} \rightarrow H^1(K, E[p^n]) \rightarrow H^1(K, E)_{p^n} \rightarrow 0$$

$$0 \rightarrow E(K_v) \otimes_{\mathbb{Z}} \mathbb{Z}/p^n \mathbb{Z} \rightarrow H^1(K_v, E[p^n]) \rightarrow H^1(K_v, E)_{p^n} \rightarrow 0$$

Take inductive limit:

$$0 \rightarrow E(K) \otimes_{\mathbb{Q}} \mathbb{Q}/\mathbb{Z}_p \rightarrow \text{Sel}(K, E)_p \rightarrow \text{III}(K, E)_p \rightarrow 0$$

$$0 \rightarrow E(K) \otimes_{\mathbb{Q}} \mathbb{Q}/\mathbb{Z}_p \rightarrow H^1(K, T_p(E) \otimes_{\mathbb{Z}} \mathbb{Q}/\mathbb{Z}_p) \rightarrow H^1(K, E)_{p^\infty} \rightarrow 0.$$

$$0 \rightarrow \bigoplus_v \underbrace{H^1(K_v, T_p(E) \otimes_{\mathbb{Z}} \mathbb{Q}/\mathbb{Z}_p)}_{\text{im } \kappa_v} \rightarrow \bigoplus_v H^1(K_v, E)_{p^\infty} \rightarrow 0$$

$K = \mathbb{Q}$:

BSD: weak version $\text{ord } L(E, s)|_{s=1} = \text{rk } E(K) = \text{corank Sel}(K, E)$

easier to study.

Study the corank of $\text{Sel}(K, E)$.

For this lecture $K = \mathbb{Q}$ or imag. quad field, E/\mathbb{Q} always.

Greenberg, Mazur, Bloch-Kato have defined other Selmer groups, i.e.

subgroups of $H^1(K, V_p(E))$ (where $V_p(E) = T_p(E) \otimes_{\mathbb{Z}_p} \mathbb{Q}_p$)

That are the same rank as $\text{Sel}_p(K, E)$. We denote these

by $H_f^1(K, V_p(E))$. $H^1(K, V_p(E))$ classifies extensions
of G_K -reps: $(V = V_p(E) \otimes L, L = \text{fin. ext. of } \mathbb{Q}_p)$

$$(*) \quad 0 \rightarrow V \rightarrow W \rightarrow L \rightarrow 0$$

The group $H_f^1(K, V_p(E))$ classifies extensions ~~of $V_p(E)$~~ ^{satisfying:}

$$\forall \text{ finite place } v \text{ of } K_p : 0 \rightarrow V \xrightarrow{I_{K_v}} W \xrightarrow{I_{K_v}} L \rightarrow 0$$

for $v|p$ if V crystalline, W should be crystalline.

Bloch-Kato
def
of
 H_f^1 .

Given $(*)$, one gets H^1 by taking G_K -invariants.

df V is ordinary at $v|p$, we have a filtration $\text{Fil}^i V \subset V$,
 $\text{gr}^i V \cong \mathbb{Z}^i$, $i \in \mathbb{Z}$.

$$0 \rightarrow V/\text{Fil}^i V \rightarrow W/\text{Fil}^i V \rightarrow L \rightarrow 0$$

$[W] \in H_{\text{green}}^1(K, V)$ if image of $[W]$ in $H^1(K_v, V/\text{Fil}^i V)$
is 0 $\forall v|p$.

Remark: $H_f^1 \subset H_g^1 \subset H$
 \uparrow
require deRham
 \Rightarrow deRham

$H_f^1 = H_g^1$ in the case that q_v^{-1} is not an eigenvalue
of \mathbb{F} acting on $D_{\text{st}}(V)$.

(using geometric convention, i.e., H-T weight of $\mathbb{Z} = -1$).

df $V = V_p(E)$ and if E has good reduction at $v|p$,

$$H_f^1(K, V_p(E)) = H_g^1(K, V_p(E)).$$

f cuspidal eigenform of even wt $2m$ for $\Gamma_0(N)$. Let $E_f =$ field generated by evs. $\rho_{f,p}$ in E_f , $L = E_{f,p}$. There is a Galois rep.

$$V_f \cong \mathbb{Z}G_a \quad \text{H-T-weights } (0, 2m-1)$$

attached to f . 2-dim L . Since we use geo. conventions,

$$\det(V_f) = \sum_{\text{cyc}}^{1-2m} \cdot V$$

We will consider $V_f(m)$. This is pure of wt 1. This has H-T-weights $(-m, m-1)$. Further, $V_f(1) \cong V$.

Conjecture (Bloch-Kato, BSD_{k=2}):

$$\text{ord } L(f, s) \Big|_{s=m} = \text{rk}_L H_f^1(\mathbb{Q}, V_f(m)).$$

Thm (S-U): Same hypothesis. Assume $p \nmid N$, $m \geq 2$.

① Then if $L(f, m) = 0 \Rightarrow \text{rk } H_f^1 \geq 1$.

② If moreover $\text{ord } L(f, s) \Big|_{s=m}$ is even ($\varepsilon(f, m) = +1$) then $\text{rk } H_f^1 \geq 2$. (under some technical conditions on Gal. reps)

Historical remarks:

• wt $2m=2$ BSD is known if $\text{ord } L(f, s) \Big|_{s=1} = 0$ or 1 .

(Gross-Zagier, Kolyvagin, Rubin)

	$\varepsilon = -1$	$\varepsilon = 1$
$m > 1$	<ul style="list-style-type: none"> Saito-Kurokawa lift when f ord. (extend to crystalline case) MC only in this box 	ICM notes $\text{rk} \geq 2$
$m = 1$	<ul style="list-style-type: none"> MC ord. crystalline case needs result Can extend sk-method only $\text{rk} \geq 1$ this box 	New result, extension of the method $m > 1$.

Deformations of reducible Galois representations:

Γ grp, \mathcal{O}/\mathfrak{p} , A an \mathcal{O} -alg. (topologically f.g.) (int. domain)

$A_L = A \otimes_{\mathcal{O}} L$ $L = \text{Frac}(\mathcal{O})$ \mathbb{Q}_p

$\mathfrak{m} \subset A$ maximal ideal, $A/\mathfrak{m} = k$

$\Gamma \xrightarrow{T} A$, T a pseudo-representation of dim n . (think trace of a rep.)
 $T = \text{Tr} \rho^s$,
 $\rho^s : \Gamma \rightarrow \text{GL}_n(\text{Frac}(A))$

Assume:

- 1) ρ is absolutely irred.
- 2) $\text{tr}(\rho(\gamma)) \in A \quad \forall \gamma \in \Gamma$.
- 3) $\text{tr}(\rho) \bmod \mathfrak{m} = \text{tr}(\bar{\rho}_1(\gamma)) + \dots + \text{tr}(\bar{\rho}_s(\gamma))$ where
 $\bar{\rho}_i : \Gamma \rightarrow \text{GL}_{n_i}(A/\mathfrak{m})$ abs. irred.

Further, assume $\forall i \neq s, \bar{\rho}_i \not\cong \bar{\rho}_s$.

$$A[\Gamma] \longrightarrow \text{Mat}_{n_1}(k) \times \dots \times \text{Mat}_{n_{s-1}}(k) \times \text{Mat}_{n_s}(k)$$

Observe if one assume $\bar{\rho}_i \not\cong \bar{\rho}_j$, this map is surjective. Under this weaker hypothesis here, one gets $(0, \dots, 0, \mathbb{Z})$ in the image.

One can lift $0 \times \pm 1$ to an idempotent of $A_m[\Gamma]$,

$e_s =$ image of this idempotent under ρ .

Choose $v \in \text{Frac}(A)^n$, $e_s v = v$, $\mathcal{L}_{\rho_s} = A_m[\Gamma] \cdot v$ - stable lattice generated by v .

Lemma: \mathcal{L}_{ρ_s} is a Γ -stable lattice of the representation ρ and it has a unique irred. gt (up to isom.) and

this quotient is isomorphic to $\bar{\rho}_s$.

Assume that \mathcal{L}_S is free.

$$\bar{\mathcal{L}} = \mathcal{L}_{P_S} / \mathfrak{m} \mathcal{L}_{P_S} \rightarrow \bar{\rho}_S \rightarrow 0$$

$$\bar{\mathcal{L}}^{SS} \simeq \bigoplus \bar{\rho}_i.$$

Since $\bar{\mathcal{L}}$ is not semi-simple, we can consider a filtration:

$$0 \rightarrow \bar{\rho}_i \rightarrow \bar{\mathcal{L}} / \bar{\mathcal{L}}^{(i)} \rightarrow \bar{\rho}_S \rightarrow 0 \quad \rightsquigarrow \text{Ext}_{\Gamma}^1(\bar{\rho}_S, \bar{\rho}_i)$$

$i \neq S$

However, need to have:

- be able to choose the i you want (gives problem for tot. real fields)
- prove the local properties of the extension when $\Gamma = G_{\mathbb{Q}_p}$.

Use the theory of finite slope families of rep. of $G_{\mathbb{Q}_p}$.

(triangulate rep.)

Ordinary case: Hida

Positive slope: Kisin, Colmez

$A = A(X)$ $x \in X / \mathbb{Q}_p$ affinoid, $X = X(\bar{\mathbb{Q}}_p)$.

$$G_{\mathbb{Q}_p} \xrightarrow{T} A(X) \xrightarrow{ev_x} L_x \subset \bar{\mathbb{Q}}_p.$$

$$T_x = ev_x \circ T = \text{tr}(\rho_x), \quad \rho_x: G_{\mathbb{Q}_p} \rightarrow GL_n(L_x)$$

$$k_1, \dots, k_n \in A(X) \text{ s.t. } \forall x \in X \text{ are } k_1(x), \dots, k_n(x)$$

are the Hodge-Tate weights of ρ_x .

$$k_1(x) \leq k_2(x) \leq \dots \leq k_n(x)$$

$$\varphi_1, \varphi_2, \dots, \varphi_n \in A(X)$$

$\Sigma \subset X$ Zariski dense and s.t. $\forall x \in \Sigma$, ρ_x crystalline with Frobenius eigenvalues $\varphi_1(x) p^{k_1(x)}, \dots, \varphi_m(x) p^{k_m(x)}$.

Remark: 1) slope = $(v(\varphi_1(x)), \dots, v(\varphi_m(x)))$

2) if slope = $(0, \dots, 0)$ the family is ordinary

~~Lemma~~ ~~statement~~

$i_0 \geq 1$ s.t. $k_{i_0}(x) - k_1(x)$ is bounded $\forall x \in X$.
 $i \in [1, i_0]$

$\forall i > i_0, \{k_i(x) - k_1(x) > N, x \in \Sigma\} = \Sigma_N$ is Zariski dense $\forall N$.

Prop. (Kisin): $\mathcal{L} \subset \text{Frac}(X)$ free lattice over $A(X)$. Then

$$\text{Deris}(\mathcal{L} \otimes L_x)^{\varphi = \varphi_i(x) p^{k_i(x)}} \neq 0$$

$\forall i \in \{1, \dots, i_0\}$.

Can use this to prove exts are deRham.

Global deformations:

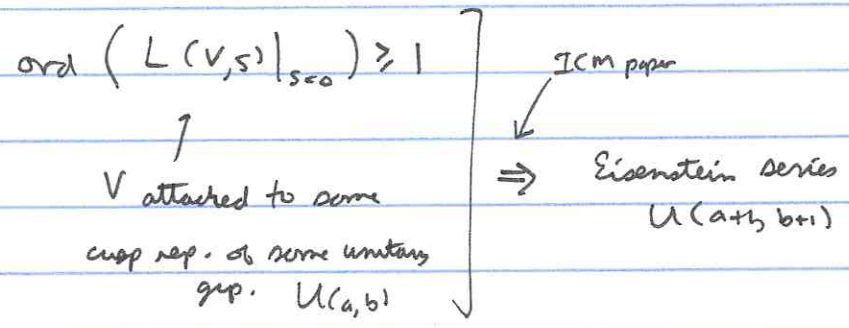
K imag. quad. field

V p -adic rep. of G_K

$V^\vee(1) \cong V \circ c$ attached to auto. rep. of some unitary group.

$n=1$ $V =$ anticyclotomic char.

$$L(V, s) = \varepsilon(V, s) L(V^\vee(1), -s) = \varepsilon(V, s) \zeta(V, -s).$$



$$R(\text{Eis. series}) \cong V \oplus L \oplus L(1).$$

Get a deformation of Galois representations

Suppose $V = V_{\rho}(m)|_{G_K} \rightarrow H_{\rho}^1(K, V^{\vee}(1)).$

$$\mathcal{M} = \ker(\text{ev}_{x_0})$$

$$T: G_K \rightarrow A(x)$$

$$X \ni x_0 \text{ attached to } \rho_{x_0}^{ss} \cong V \oplus L \oplus L(1)$$

\mathcal{L} free lattice with unique quotient $\cong V.$

$$\begin{pmatrix} \varepsilon & \circledast & * \\ 0 & 1 & * \\ 0 & 0 & \rho_V \end{pmatrix} \text{ or } \begin{pmatrix} 1 & * & * \\ 0 & \varepsilon & * \\ 0 & 0 & \rho_V \end{pmatrix}$$

Need to rule out
this case.

happy for this one
get ext. we want and
it is deRham by Kisin

Unram. $V \vee \rho_V$ if
 $m > 1, \Rightarrow * \text{ is crystalline}$
 \Rightarrow get ext. in $H_{\rho}^1(K, \mathbb{Q}_p(1)),$
 which has rank # rts. of unity,
 there are none. \Rightarrow this case is ruled-out.

If $m=1$, can't apply Kisin.

Urban

5-21-11

Pg 8

$\begin{pmatrix} \varepsilon & * \\ 0 & 1 \end{pmatrix}$ is semi-stable $\leadsto N \subset D_{st} \left(\begin{pmatrix} \varepsilon & * \\ 0 & 1 \end{pmatrix} \right)$

Take \wedge^2 of $\begin{pmatrix} \varepsilon & * & * \\ 0 & 1 & * \\ 0 & 0 & p_r \end{pmatrix}$, get 6-dim rep. and get

as $V \otimes \begin{pmatrix} \varepsilon & * \\ 0 & 1 \end{pmatrix}$ as a subgt.

$$D_{st} \left(V \otimes \begin{pmatrix} \varepsilon & * \\ 0 & 1 \end{pmatrix} \right) = D_{st}(V) \otimes D_{st} \left(\begin{pmatrix} \varepsilon & * \\ 0 & 1 \end{pmatrix} \right). \quad \text{Id} \otimes N,$$

Can now apply Kisin to get $\text{Id} \otimes N = 0 \Rightarrow N = 0$ and

we are done. This gives the rk 1 case.