

2. Stark's basic conjecture.

2.1 The S-unit theorem. In this section we deal mainly with one number field. We denote it by K and its places by w because the concepts we discuss will be applied to the top field K of a Galois extension K/k . Let S be a finite set of places of K containing the set S_∞ of infinite places. It is often convenient to work with the Dedekind ring of “ S -integers”

$$\mathcal{O}_S = \{\alpha \in K \mid |\alpha|_w \leq 1, \text{ for all } w \notin S\},$$

the localization of \mathcal{O}_K whose primes “are” those of \mathcal{O}_K not in S . The group \mathcal{O}_S^* of “ S -units”, the elements $u \in K$ such that $|u|_w = 1$ for all $w \notin S$, plays a key role in Stark's conjectures. In this section we recall the S -unit theorem and establish some notation.

Let $Y = Y_S$ denote the free \mathbb{Z} -module with basis the places in S . For $y = \sum_{w \in S} n_w w \in Y$, put $\epsilon(y) = \sum_{w \in S} n_w$ and let $X = X_S = \text{Ker}(\epsilon)$, so that we have an exact sequence

$$(2.1.1) \quad \{0\} \rightarrow X \rightarrow Y \rightarrow \mathbb{Z} \rightarrow \{0\}$$

The S -unit theorem concerns the map $\lambda = \lambda_S$

$$(2.1.2) \quad \lambda : \mathcal{O}_S^* \rightarrow \mathbb{R}Y_S$$

defined by $\lambda(u) = \sum_{w \in S} \log |u|_w \cdot w$.

Notation: $\mathbb{R}Y$ is the real vector space with basis $\{w\}, w \in S$. More generally, for a ring R and an abelian group A we will denote the R -module $R \otimes_{\mathbb{Z}} A$ simply by RA . Also, by $|\cdot|_w$ we denote the normed absolute value at the place w . We define $|\alpha|_w$ as the factor by which the additive Haar measure in the completion K_w is stretched under multiplication by α . For complex w this is the square of the usual absolute value, and does not satisfy the triangle inequality.

For $\alpha \neq 0$ the product formula $\prod_{\text{all } w} |\alpha|_w = 1$ holds and shows that $\lambda(u) \in \mathbb{R}X_S$ for all S -units u ,

(2.1.3) Theorem. The kernel of λ is the finite cyclic group $\mu(K)$ of roots of 1 in K . The image of λ is a lattice spanning $\mathbb{R}X$.

Let $r = r_S = \dim \mathbb{R}X = |S| - 1$, and let $\{u_1, u_2, \dots, u_r\}$ be a fundamental system of S -units, that is, units whose images $\lambda(U_i)$ form a basis for the lattice $\lambda(\mathcal{O}_S^*)$. Let $S = \{w_0, w_1, \dots, w_r\}$. The S -regulator

$$(2.1.4) \quad R_S := \text{absolute value of } \det_{1 \leq i, j \leq r} \log |u_i|_{w_j}$$

is the covolume of the lattice in $\mathbb{R}X$ in the measure $|dx_1 dx_2 \cdots dx_r|$ on X , which by symmetry is independent of our numbering of the w_j .

2.2 Incomplete L-functions. Now we assume the same setup as earlier: K/k finite Galois with group G ; V a representation of G ; v a variable place of k and w a place of K above v ; G_w and I_w are the decomposition and inertia groups for w over k . Let S be a finite set of places of k containing the set S_∞ of infinite places and for an extension field k' of k , let $S_{k'}$ denote the set of places v' of k' above S .

It is important to consider the Euler product

$$(2.2.1) \quad L_S(s, V) = \prod_{v \notin S} L_v(s, V)$$

whose factors are those of $L(s, V)$ corresponding to prime ideals of \mathcal{O}_k not in S . The “induction rule”

$$(2.2.2) \quad L_S(s, \text{Ind}_{k'}^k W) = L_{S_{k'}}(s, W),$$

still holds for these incomplete L_S 's, because we proved (1.9.2) for each individual Euler factor L_v , not only for their product.

Stark's conjectures concern the leading term of the Taylor expansion of $L_S(s, V)$ at $s = 0$, which we denote by $c_S(V)s^{r_S(V)}$. Thus, $r_S(V)$ is the order of vanishing of $L_S(s, V)$ at $s = 0$, and

$$(2.2.3) \quad c_S(V) = \lim_{s \rightarrow 0} \frac{L_S(s, V)}{s^{r_S(V)}} \neq 0.$$

2.3 Order of zero. In this paragraph we prove

$$(2.3.1) \text{ Theorem. } r_S(V) = -\dim V^G + \sum_{v \in S} \dim V^{G_w}.$$

Proof. We get this from the functional equation and the very simple behavior near $s = 1$. At $s = 1$ none of the local L functions $L_v(s, V)$ has a zero or pole, as is obvious from their definition. Hence, $\Lambda(s, V)$, $L(s, V)$, and $L_S(s, V)$ for all S have the same order at $s = 1$. This order is $-\dim V^G$, or, equivalently, $\zeta_k(s)$ has order -1 (simple pole), and for each other irreducible representation V of G , $L(1, V) \neq 0, \infty$. For an abelian character ψ this is an old story, starting with Dedekind's proof of his theorem on primes in arithmetic progressions, and the non-abelian case follows from that via (1.7.3) and Exercise 1.7.5.

Now for s near 0, the functional equation (1.8.5) for $\Lambda(s, V) = L_S(s, V) \prod_{v \in S} L_v(s, V)$, namely

$$\Lambda(1-s, V) = CB^s \Lambda(s, V^*),$$

shows that our theorem follows immediately from the fact that for every place v of k the local function $L_v(s, V)$ has a pole of order $\dim V^{G_w}$. This is easily checked from the definitions, using for infinite v the fact that $s\Gamma(s) = \Gamma(s+1) > 0$ for $s > -1$, and for finite v that the order of the pole is the multiplicity of 1 as eigenvalue of the action of σ_w on V^{I_w} , and $V^{G_w} = (V^{I_w})^{\langle \sigma_w \rangle}$.

2.4 The zeta function. The incomplete zeta function

$$\zeta_{k,S}(s) = \prod_{v \notin S} (1 - q_v^{-s})^{-1},$$

has a zero of order $r_S = |S| - 1$ at $s = 0$, because each ‘dim’ in (2.3.1) is 1. The leading coefficient is also an old story.

$$(2.3.1) \text{ Theorem. As } s \rightarrow 0, \zeta_S(s) \sim \frac{h_S R_S}{w} s^{|S|-1}.$$