Modularity of Galois representations over imaginary quadratic fields

Krzysztof Klosin (joint with T. Berger)

City University of New York

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- \mathcal{O} = ring of integers in a finite extension of \mathbf{Q}_{p} , ϖ =uniformizer, $\mathbf{F} = \mathcal{O}/\varpi$;
- $\Psi = (\text{unramified})$ Hecke character of ∞ -type $\frac{z}{z}$, $\Psi_{\mathfrak{p}}: G_{\Sigma} \to \mathcal{O}^{\times}$ the associated Galois character, $\chi_0 = \Psi_{\mathfrak{p}}$ (mod ϖ)

Suppose that dim_F $\operatorname{Ext}^{1}_{\mathsf{F}[G_{\Sigma}]}(\chi_{0}, \mathbf{1}) = 1.$

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Then ρ is modular, i.e.,

$$L(\rho\otimes\gamma,s)=L(s,\pi)$$

for some automorphic representation π of $GL_2(\mathbf{A}_F)$.

Remarks to the Main Theorem

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$$\rho = \begin{bmatrix} * & * \\ 0 & * \end{bmatrix} : G_{\mathbf{Q}} \to \mathsf{GL}_2(\mathcal{O})$$

of the residual representation

$$\rho_{\mathbf{0}} = \begin{bmatrix} \mathbf{1} & * \\ \mathbf{0} & \chi_{\mathbf{0}} \end{bmatrix} \not\cong \mathbf{1} \oplus \chi_{\mathbf{0}}$$

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Theorem (Berger-K.)

No such deformation ρ exists for F.

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- The condition dim_F $\operatorname{Ext}^{1}_{F[G_{\Sigma}]}(\chi_{0}, \mathbf{1}) = 1$ is (probably) essential (work in progress).
- The unramifiedness of Ψ -condition can be replaced by demanding that $H_c^2(S_{K_f}, \mathbf{Z}_p)^{\text{tors}} = 0.$

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- One gets a surjection $\phi : R_{\Sigma} \twoheadrightarrow \mathbf{T}_{\Sigma}$
- Goal: Show that ϕ is an isomorphism.

$\mathit{I}_{\mathrm{re}}:=$ the smallest ideal I of R_Σ such that

 $\operatorname{tr} \rho_{\Sigma} = \chi_1 + \chi_2 \pmod{I}$

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 $R_{\Sigma}/I_{
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Key idea: Reduce the problem to that of modularity of reducible lifts.

Theorem (Berger-K.)

Let R, S be commutative rings. Choose $r \in R$ such that $\bigcap_n r^n R = 0$. Let A be a domain and suppose that S is a finitely generated free module over A. Suppose we have a commutative diagrams of ring maps:



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The rank condition can be replaced by a condition $\frac{rR}{r^2R} \cong \frac{\phi(r)S}{\phi(r)^2S}$ and then the theorem gives an alternative to the criterion of Wiles and Lenstra.

Corollary

Set $S = \mathbf{T}_{\Sigma}$, $R = R_{\Sigma}$.

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Upshot: To show $R_{\Sigma} = \mathbf{T}_{\Sigma}$ it suffices to prove:

- $I_{\rm re}$ is principal,
- $R_{\Sigma}/I_{\rm re} \cong T_{\Sigma}/\phi(I_{\rm re})$, i.e., that every *reducible* deformation of ρ_0 is modular.

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Remark: The criterion removes the condition $p||B_{2,\omega^{k-2}}$ from a modularity result for residually reducible Galois representations over **Q** due to Calegari.

Theorem (Bellaïche-Chenevier, Calegari)

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$$\mathsf{dim}_{\mathsf{F}} \mathsf{Ext}^{1}_{\mathsf{F}[G_{\Sigma}]}(\chi_{0}, \mathbf{1}) = \mathsf{dim}_{\mathsf{F}} \mathsf{Ext}^{1}_{\mathsf{F}[G_{\Sigma}]}(\mathbf{1}, \chi_{0}) = 1,$$

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Theorem (Berger-K.)

Let A be a Noetherian local ring with $2 \in A^{\times}$. Set $S = A[G_{\Sigma}]$. Let $\rho: S \to M_2(A)$ be an A-algebra map with $\rho = \rho_0 \mod \mathfrak{m}_A$.

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Theorem (Berger-K.)

Let A be a Noetherian local ring with $2 \in A^{\times}$. Set $S = A[G_{\Sigma}]$. Let $\rho : S \to M_2(A)$ be an A-algebra map with $\rho = \rho_0 \mod \mathfrak{m}_A$. If A is reduced, infinite, but $\#A/I_{\mathrm{re},A} < \infty$, then $I_{\mathrm{re},A}$ is principal. Goal is to show that $\overline{\phi} : R_{\Sigma}/I_{\rm re} \twoheadrightarrow \mathbf{T}_{\Sigma}/\phi(I_{\rm re})$ is an isomorphism.

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Two steps:

• Show $\#R_{\Sigma}/I_{\rm re} \leq \#\mathcal{O}/L$ – value (Iwasawa Main Conjecture - Rubin).

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Two steps:

- Show $\#R_{\Sigma}/I_{\rm re} \leq \#\mathcal{O}/L$ value (Iwasawa Main Conjecture Rubin).
- Show $\# \mathbf{T}_{\Sigma} / \phi(I_{\mathrm{re}}) \geq \# \mathcal{O} / L \text{value}$ (congruences Berger).

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Then

- *I*_{re} is principal for essentially self-dual deformations (Berger-K., 2011);
- Commutative algebra criterion still works;

• One needs to prove $R_{\Sigma}/I_{\rm re} = {f T}_{\Sigma}/\phi(I_{\rm re}).$

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- \bullet One needs to prove ${\it R}_{\Sigma}/{\it I}_{\rm re}={\rm T}_{\Sigma}/\phi({\it I}_{\rm re}).$ This uses
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 - the Bloch-Kato conjecture for the module $\text{Hom}(\tilde{\tau}_2, \tilde{\tau}_1)$, where $\tilde{\tau}_j$ are the unique lifts of τ_j to \mathcal{O} ,
 - Congruences among automorphic forms on higher-rank groups (results of Agarwal, Böcherer, Dummigan, Schulze-Pillot and K. on congruences to the Yoshida lifts on Sp₄ allow us to prove that certain 4-dimensional Galois represenentations arise from Siegel modular forms.)

Another modularity result

N=square-free, k=even, $p > k \ge 4$, $F = \mathbf{Q}$. Assume that every prime $l \mid N$ satisfies $l \not\equiv 1 \mod p$. Let $f \in S_2(N), g \in S_k(N)$, $\Sigma = \{l \mid N, p\}$. Assume that $\overline{\rho}_f$ and $\overline{\rho}_g$ are absolutely irreducible.

Theorem (Berger-K.)

Suppose:

• dim_F $H^1_{\Sigma}(\mathbf{Q}, \operatorname{Hom}(\overline{\rho}_g, \overline{\rho}_f(k/2 - 1))) = 1;$

•
$$R_{\overline{\rho}_f(k/2-1)} = R_{\overline{\rho}_g} = \mathcal{O};$$

• the B-K conjecture holds for $H^1_{\Sigma}(\mathbf{Q}, \operatorname{Hom}(\rho_g, \rho_f(k/2 - 1)))$.

Let $\rho: G_{\mathbf{Q},\Sigma} \to \mathsf{GL}_4(\overline{\mathbf{Q}}_p)$ be continuous, irreducible and such that:

•
$$\overline{
ho}^{\mathrm{ss}} \cong \overline{
ho}_f(k/2-1) \oplus \overline{
ho}_g$$
;

• ρ is crystalline at p and essentially self-dual.

Then ρ is modular. More precisely, there exists a Siegel modular form of weight k/2 + 1, level $\Gamma_0(N)$ and trivial character such that $\rho \cong \rho_F$.

Thank you.

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