

On Saito-Kurokawa Lifts

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Recall

$$\iota_n = \begin{pmatrix} 0_n & 1_n \\ -1_n & 0_n \end{pmatrix}.$$

$$GSp_{2n} = \{g \in GL_{2n} : {}^t g \iota_n g = \mu_n(g) \iota_n, \quad \mu_n(g) \in GL_1\}$$

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Siegel upper half space of degree n is given by

$$\mathfrak{h}^n = \{Z \in \text{Mat}_n(\mathbb{C}) : {}^t Z = Z, \text{Im}(Z) > 0\}.$$

Action of $\mathrm{GSp}_{2n}^+(\mathbb{R})$ on \mathfrak{h}^n

$\mathrm{GSp}_{2n}^+(\mathbb{R}) = \{\gamma \in \mathrm{GSp}_{2n}(\mathbb{R}) : \mu_n(\gamma) > 0\}$ action on \mathfrak{h}^n is given by

$$\gamma Z = (a_\gamma Z + b_\gamma)(c_\gamma Z + d_\gamma)^{-1}$$

for $\gamma = \begin{pmatrix} a_\gamma & b_\gamma \\ c_\gamma & d_\gamma \end{pmatrix} \in \mathrm{GSp}_{2n}^+(\mathbb{R})$ and $Z \in \mathfrak{h}^n$.

Congruence subgroup of $\mathrm{Sp}_{2n}(\mathbb{Z})$

For $M \geq 1$,

Definition

$$\Gamma_0^{(n)}(M) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{Sp}_{2n}(\mathbb{Z}) : c \equiv 0 \pmod{M} \right\}.$$

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$$\Gamma_0^{(n)}(M) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{Sp}_{2n}(\mathbb{Z}) : c \equiv 0 \pmod{M} \right\}.$$

Note this is the natural generalization of $\Gamma_0(M) \subset \mathrm{SL}_2(\mathbb{Z})$ to this setting.

Action of $\mathrm{GSp}_{2n}^+(\mathbb{R})$ on functions $F : \mathfrak{h}^n \rightarrow \mathbb{C}$

For $\gamma \in \mathrm{GSp}_{2n}^+(\mathbb{R})$ and $Z \in \mathfrak{h}^n$,

$$j(\gamma, Z) = \det(c_\gamma Z + d_\gamma).$$

Let κ be a positive integer. Given a function $F : \mathfrak{h}^n \rightarrow \mathbb{C}$, we set

$$(F|_\kappa \gamma)(Z) = \mu_n(\gamma)^{n\kappa/2} j(\gamma, Z)^{-\kappa} F(\gamma Z).$$

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Definition

We say such an F is a Siegel modular form of degree n , weight κ , and level Γ if F is a **holomorphic** function and satisfies

$$(F|_\kappa \gamma)(Z) = F(Z)$$

for all $\gamma \in \Gamma$.

The space of Siegel modular forms of weight κ and level Γ is $M_\kappa(\Gamma)$.

Fourier Series of a Siegel Modular Form

If F is a Siegel modular form of degree $n > 1$, it has a Fourier expansion of the form

$$F(Z) = \sum_{T \in \mathbb{S}_n^{\geq 0}(\mathbb{Z})} a_F(T) e(\text{Tr}(TZ))$$

where $\mathbb{S}_n^{\geq 0}(\mathbb{Z})$ is the semi-group of $n \times n$ positive semi-definite half-integral symmetric matrices.

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Definition

F is a **Siegel cusp form** ($F \in \mathcal{S}_\kappa(\Gamma)$) if and only if $a_F(T) = 0$ when $\det T = 0$ and

$$F(Z) = \sum_{T \in \mathbb{S}_n^{\geq 0}(\mathbb{Z}), T > 0} a_F(T) e(\text{Tr}(TZ)).$$

Consider the Siegel upper half plane \mathfrak{h}^2 of degree 2 and the following form

Definition

Let $t \geq 1$ be an integer and let

$$P_t = \text{diag}(1, t) = \begin{pmatrix} 1 & 0 \\ 0 & t \end{pmatrix}$$

and we consider the skew-symmetric bilinear form written in block form

$$J_t = \begin{pmatrix} 0 & P_t \\ -P_t & 0 \end{pmatrix}$$

Definition

The Paramodular Group of level t

$$\Gamma[t] = \mathrm{Sp}_4(\mathbb{Q}) \cap \left\{ \begin{pmatrix} \mathbb{Z} & t\mathbb{Z} & \mathbb{Z} & \mathbb{Z} \\ \mathbb{Z} & \mathbb{Z} & \mathbb{Z} & t^{-1}\mathbb{Z} \\ \mathbb{Z} & t\mathbb{Z} & \mathbb{Z} & \mathbb{Z} \\ t\mathbb{Z} & t\mathbb{Z} & t\mathbb{Z} & \mathbb{Z} \end{pmatrix} \right\}$$

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Note that for $t = 1$ this group is the Siegel Modular group $\mathrm{Sp}_4(\mathbb{Z})$.

The Paramodular Group in a Geometric Context

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We may write S as a two dimensional complex torus

$$\mathbb{C}^2/L$$

where

$$L = Z\mathbb{Z}^2 \oplus P_t\mathbb{Z}^2$$

$Z \in \mathfrak{h}^2$ and $P_t = \text{diag}(1, t)$.

The polarization with respect to this basis is given by the form J_t .

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- 2 The following conjecture of Brumer and Kramer:

Conjecture

There is a one-to-one correspondence between isogeny classes of abelian surfaces A/\mathbb{Q} of conductor t with $\text{End}_{\mathbb{Q}}(A) = \mathbb{Z}$ and weight 2 and level $\Gamma[t]$ newforms F with rational eigenvalues, not in the span of Gritsenko lifts, such that $L(s, A) = L(s, F, \text{spin})$.

The Jacobi group

Let Γ be a congruence subgroup of $SL_2(\mathbb{Z})$.

The Jacobi group $\Gamma^J := \Gamma \ltimes \mathbb{Z}^2$ is

$$\Gamma^J := \{(M, X) : (M, X)(M', X') = (MM', XM' + X')\}$$

for all $M, M' \in \Gamma$ and $X = [\lambda, \mu], X' = [\alpha, \beta] \in \mathbb{Z}^2$.

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If $\Gamma = SL_2(\mathbb{Z})$, $SL_2(\mathbb{Z})^J$ is called the **the full Jacobi group**.

The space of Jacobi cusp forms of weight κ , index t and level $\Gamma_0(M)^J$ (resp $SL_2(\mathbb{Z})^J$) is $J_{\kappa,t}^c(\Gamma_0(M)^J)$ (resp. $J_{\kappa,t}^c$).

Let $f \in S_{2\kappa-2}^{\text{new}}(\Gamma_0(m))$ be a newform. There are essentially two classical ways to construct a Siegel modular form F_f associated to f (referred to as a Saito-Kurokawa lift of f):

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- 1 If κ is even, one has a lifting $F_f \in S_{\kappa}(\Gamma_0^{(2)}(m))$ due to numerous people: Manickham-Ramakrishnan-Vasudevan, Piatetski-Shapiro, Schmidt, Skinner-Urban.

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- 2 If $f \in S_{2\kappa-2}^{\text{new},-}(\Gamma_0(m))$, then one has a lifting $F_f \in S_{\kappa}(\Gamma[m])$ due to Skoruppa-Zagier and Gritsenko.

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- 2 His construction is local in nature, so one can form many Saito-Kurokawa lifts from a single f .
- 3 In this talk we will give a precise statement about “mixed-level” Saito-Kurokawa lifts and outline a classical construction of such liftings.

Definition

Let t and $M \in \mathbb{N}$.

$$\Gamma_M[t] = \mathrm{Sp}_4(\mathbb{Q}) \cap \left\{ \begin{pmatrix} \mathbb{Z} & t\mathbb{Z} & \mathbb{Z} & \mathbb{Z} \\ \mathbb{Z} & \mathbb{Z} & \mathbb{Z} & t^{-1}\mathbb{Z} \\ M\mathbb{Z} & Mt\mathbb{Z} & \mathbb{Z} & \mathbb{Z} \\ Mt\mathbb{Z} & Mt\mathbb{Z} & t\mathbb{Z} & \mathbb{Z} \end{pmatrix} \right\}$$

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- 2 For $M = 1$, $\Gamma_M[t] = \Gamma[t]$; hence we can say that the paramodular group $\Gamma[t]$ is of congruence level 1.
- 3 Similarly, for $t = 1$, the group $\Gamma_M[t]$ is the congruence subgroup $\Gamma_0^{(2)}(M)$ of $\mathrm{Sp}_4(\mathbb{Z})$.

The Possible Saito-Kurokawa lifts

Let $t, M \in \mathbb{N}$ be odd square-free such that $\gcd(M, t) = 1$.
Fix f a newform in $S_{2\kappa-2}^{\text{new}}(tM)$.

Depending on certain choices of a set S of places p with condition on the Atkin-Lehner eigenvalue at p , the possible Saito-Kurokawa lifts that f can have are the following:

- If $f \in S_{2\kappa-2}^{\text{new},-}(\Gamma_0(Mt))$ then $F_f \in S_{\kappa}(\Gamma[tM])$.
- If κ is even
 - ① $F_f \in S_{\kappa}(\Gamma_M[t])$.
 - ② $F_f \in S_{\kappa}(\Gamma_t[M])$.
 - ③ $F_f \in S_{\kappa}(\Gamma_0^{(2)}(tM))$.

A couple definitions before the main theorem

Define

$$S_{2\kappa-2}^t(\Gamma_0(Mt)) = \{f \in S_{2\kappa-2}(\Gamma_0(Mt)) : f|W_t = (-1)^\kappa f\}.$$

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Recall that for $F \in S_\kappa(\Gamma)$ a Siegel eigenform, the Spinor L -function is defined by

$$L(s, F, \text{spin}) = \zeta(2s - 2k + 4) \sum_{n \geq 1} \lambda_F(n) n^{-s}.$$

Theorem

(Brown, Z.) Let M and t be odd square-free integers, $\gcd(M, t) = 1$, $\kappa \geq 2$ an even integer, and $f \in S_{2\kappa-2}^{t, \text{new}}(\Gamma_0(Mt))$ a newform. Let ϵ_p be the eigenvalue of f under the Atkin-Lehner involution at p and let η_p be the Atkin-Lehner involution of degree 2 at p . There exists an eigenform $F_f \in S_\kappa(\Gamma_M[t])$, unique up to constant multiples, whose Spinor L-function is given by

$$L(s, F_f, \text{spin}) = \left(\prod_{\substack{p|M \\ \epsilon_p = -1}} (1 - p^{-s+\kappa-1}) \right) \zeta(s-\kappa+1)\zeta(s-\kappa+2)L(s, f).$$

Moreover, for each $p \mid t$ we have $\eta_p F_f = \epsilon_p F_f$ and for each $p \mid M$ we have $\eta_p F_f = F_f$.

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Comments on the theorem

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- ② We can construct the lifting without the requirement M and t be square-free. We only require square-free in order to get uniqueness (it won't be unique in general) as well as to get the correct L -functions.
- ③ We use representation theoretic methods to get uniqueness and the result on the L -functions, which is why we require odd and square-free.

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- 1 First we lift from $S_{2\kappa-2}^{\text{new}}(\Gamma_0(Mt))$ to $S_{\kappa-1/2}^{\text{Koh}}(\Gamma_0(4Mt))$ via the Shintani lifting.

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- 2 Next we lift from $S_{\kappa-1/2}^{\text{Koh}}(\Gamma_0(Mt))$ to $J_{\kappa,t}^c(\Gamma_0(M)^J)$.
- 3 Finally, we generalize Gritsenko's lifting to get a map $J_{\kappa,t}^c(\Gamma_0(M)^J)$ to $S_{\kappa}(\Gamma_M[t])$. This is the focus of the remainder of the talk.

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Fourier Series of a Siegel Modular Form of degree 2

- For $Z = \begin{pmatrix} \tau & z \\ z & \tau' \end{pmatrix} \in \mathfrak{h}^2$ we write it as a row vector (τ, z, τ') ,
 $\tau, \tau' \in \mathfrak{h}^1, z \in \mathbb{C}$, and $\text{Im}(z)^2 < \text{Im}(\tau) \text{Im}(\tau')$.

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- We write $F(\tau, z, \tau')$ instead of $F(Z)$.

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- We write $F(\tau, z, \tau')$ instead of $F(Z)$.
- For every $T = \begin{pmatrix} n & r/2 \\ r/2 & m \end{pmatrix} \in \mathbb{S}_2^{\geq 0}(\mathbb{Z})$, we write $a_F(n, r, m)$ for $a_F(T)$ where $n, r, m \in \mathbb{Z}$, $n, m \geq 0$ and $r^2 \leq 4mn$.

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The Fourier expansion of $F \in S_\kappa(\Gamma)$ takes the form

$$F(\tau, z, \tau') = \sum_{\substack{m, n, r \in \mathbb{Z} \\ m, n, 4mn - r^2 > 0}} a_F(n, r, m) e(n\tau + rz + m\tau').$$

Fourier-Jacobi expansion of Mixed Congruence level Paramodular forms

Let $F \in M_\kappa(\Gamma_M[t])$. We can rewrite its Fourier expansion as

$$F(\tau, z, \tau') = \sum_{m \geq 0} \phi_{mt}(\tau, z) e(2\pi i(mt)\tau').$$

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Corollary

Let $F \in M_\kappa(\Gamma[t])$. For each m , F 's Fourier-Jacobi coefficient ϕ_{mt} belongs to $J_{\kappa, mt}$.

Theorem (Z.)

Let ϕ_t be a Jacobi cusp form of weight $\kappa \geq 2$, index t , and level $\Gamma_0(M)^J$ with Fourier expansion

$$\phi_t(\tau, z) = \sum_{\substack{n, r \in \mathbb{Z}, n \geq 0 \\ 4nt > r^2}} c(n, r) e(2\pi i(n\tau + rz)).$$

Then

$$\mathcal{G}_M(\phi_t)(\tau, z, \tau') := \sum_{m \geq 1} V_m(\phi_t) e(2\pi i m \tau \tau')$$

lies in $S_\kappa(\Gamma_M[t])$ where V_m is the index-shifting operator.

- 1 For $t = 1$ the lifting

$$\mathcal{G}_M : \phi_1 \rightarrow \mathcal{G}_M(\phi_1)$$

is the Maass lifting with level M

$$\mathcal{V} : J_{\kappa,1}^c(\Gamma_0(M)^J) \rightarrow \mathcal{S}_{\kappa}(\Gamma_0^{(2)}(M)).$$

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is Gritsenko's lifting

$$\mathcal{G} : J_{\kappa,t}^c \rightarrow \mathcal{S}_{\kappa}(\Gamma[t]).$$

Let $F \in S_{\kappa}(\Gamma_M[t])$ have Fourier-Jacobi expansion

$$F(\tau, z, \tau') = \sum_{m \geq 1} \phi_{mt}(\tau, z) e(2\pi imt\tau').$$

Let $F \in S_\kappa(\Gamma_M[t])$ have Fourier-Jacobi expansion

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One obtains an infinite family of liftings given by

$$S_\kappa(\Gamma_M[t]) \longrightarrow \prod_{m \geq 1} J_{\kappa, mt}^c(\Gamma_0(M)^J) \longrightarrow \prod_{m \geq 1} S_\kappa(\Gamma_M[mt])$$

$$F \longmapsto (\phi_{mt})_{m \geq 1} \longmapsto (\mathcal{G}(\phi_{mt}))_{m \geq 1}.$$

One can iterate this process indefinitely.

Corollary (Z.)

The map $\mathcal{G}_M : J_{\kappa,t}^c(\Gamma_0(M)^J) \rightarrow S_{\kappa}(\Gamma_M[t])$ is injective.

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The composition

$$J_{\kappa,t}^c(\Gamma_0(M)^J) \rightarrow S_{\kappa}(\Gamma_M[t]) \rightarrow \prod_{m \in \mathbb{N}} J_{\kappa,mt}^c(\Gamma_0(M)^J) \rightarrow J_{\kappa,t}^c(\Gamma_0(M)^J)$$

is the identity.

Image of the Mixed Level Lifting

The image of the lifting \mathcal{G}_M is the subspace of $S_\kappa(\Gamma_M[t])$ consisting of modular forms whose Fourier coefficients satisfy the following relations

$$a(n, r, mt) = \sum_{\substack{d|(n,r,m) \\ r^2 < 4nmt \\ (d,M)=1}} d^{\kappa-1} c\left(\frac{nm}{d^2}, \frac{r}{d}\right).$$

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Corollary (Z.)

We have the following isomorphism of vector spaces

$$J_{\kappa,t}^c(\Gamma_0(M)^J) \cong S_\kappa^*(\Gamma_M[t]).$$

Lemma (Z.)

The Mixed level lifting \mathcal{G}_M is Hecke equivariant with respect to the Hecke algebra homomorphism $\iota : \mathbb{T}_{\mathbb{Z}}^{S,tM} \rightarrow \mathbb{T}_{\mathbb{Z}}^{J,tM}$ given by

$$\iota(T_S(p)) = -T_J(p) + p^{k-1} + p^{k-2} \quad (p \nmid tM),$$

$$\iota(T'_S(p)) = (p^{k-1} + p^{k-2})T_J(p) + 2p^{2k-3} + p^{2k-4} \quad (p \nmid tM).$$

Equivalently, the lifting \mathcal{G}_M satisfies

$$(\mathcal{G}_M(\phi))|T = \mathcal{G}_M(\phi|\iota(T))$$

for any $T \in \mathbb{T}_{\mathbb{Z}}^{S,tM}$.

Some future directions

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- 3 Construct congruences between the lifted forms and non-lifted Siegel forms. This has applications to a further conjecture of Brumer-Kramer. Namely, if $M = 1$, they conjecture a congruence modulo p between a Saito-Kurokawa lift and a non-lifted form should correspond to a p -torsion point on the abelian surface given by the non-lifted form. So far their congruences are all computational.