

Lang-Trotter revisited, and lower bounds for Frobenius traces:

Let  $E/\mathbb{Q}$  be an elliptic curve with good red. outside  $\Delta$ .

For  $p \nmid \Delta$ ,  $\# E(\mathbb{F}_p) = p+1 - a(p)$ .

We know via Hasse that

$$|a(p)| \leq 2\sqrt{p}.$$

Lang-Trotter try to predict: Given  $A \in \mathbb{Z}$ , is  $A = a(p)$  for infinitely many  $p$ ?

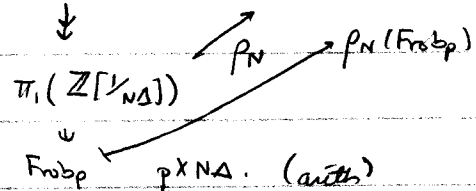
Congruence obstruction:

$X_1(11)$  has a rational pt. of order 5.

$\forall p \neq 11$ ,  $p+1 \equiv a(p) \pmod{5}$ .

Can  $a(p) = 1$ ? Not very often!

$\forall N \geq 2$ , "mod  $N$ " rep.  $\rho_N: \text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}) \rightarrow \text{GL}_2(\mathbb{Z}/N\mathbb{Z})$



$$\text{tr}(\rho_N(\text{Frob}_p)) \equiv a(p) \pmod{N}$$

$$\det(\rho_N(\text{Frob}_p)) \equiv p \pmod{N}.$$

$\text{dimage}(\rho_N) \subset \text{GL}_2(\mathbb{Z}/N\mathbb{Z})$ .

if  $A \pmod{N}$  is not the trace of an elt. of this image,

then at most finitely many primes  $p$  can have

$a(p) \equiv A \pmod{N}$ . In this case we say there is a

"congruence obstruction at  $N$ ."

Weak Lang-Trotter conj: Given  $E/\mathbb{Q}$ , given  $A \in \mathbb{Z}$ , then  $\exists$  so'ly

many  $p$  with  $a(p) = A$  iff  $A$  has no congruence obstruction.

Known cases:

$$A=0, E/\mathbb{Q} \begin{cases} \text{CM } a(p)=0 \text{ w/ density } 1/2 \\ \text{non-CM } a(p)=0 \text{ only many } p \text{ (Elkies)} \end{cases}$$

$E/K$  # field. LT  $\Rightarrow \exists$  only many  $p$  w/  $a(p)=0$ .

$$E: y^2 = x^3 - x$$

$$a(p)=0 \Leftrightarrow p=1+16n^2 \text{ (conj. to be prime only often!)}$$

The natural next step since we cannot make progress over  $\mathbb{Q}$  is to look at the function field case.

$E/K$   $K$  - fcn field of proj. smooth geom. conn. curve  $\mathbb{Z}/\mathbb{F}_q$ .

This extends out to  $E/V$ ,  $V \subset \mathbb{Z}$  dense open set.

For a closed pt  $p \in V$ ,  $\mathbb{F}_p$

$$\#E(\mathbb{F}_p) = N_p + 1 - a(p). \text{ Still have } |a(p)| \leq 2\sqrt{N_p}.$$

(Bombieri): via Baker-Wudtholz of  $E/\mathbb{F}_q$  (i.e. coefficients are constant in f.f. case) if s.s., then  $q^{n/2} | a(q^n) \Rightarrow a(q^n) = 0$  or  $|a(q^n)| \geq q^{n/2}$ . If ordinary, then  $|a(q^n)| \rightarrow \infty$ .

From this, clearly it won't work in this case. It is also more good if  $E/V$  has a constant  $j$ -invariant.

Assume  $E/V$  has a nonconstant  $j$ . Shrink  $V$  so that  $E/V$  is ordinary (throw out finitely many points, which is fine b/c  $j$  is nonconstant.) Now at least can't prove it is false.

$\forall N \geq 2$ , prime to  $q = \text{char}(\mathbb{F}_q)$ , have a mod  $N$  rep.

for  $p^v$ , there is a 1-dim rep.

$$\rho_{p^v} : \pi_1(\mathcal{V}) \longrightarrow (\mathbb{Z}/p^v)^\times$$

if  $N_p \geq p^v$ ,  $\rho_{p^v}(\text{Frob}_p) \equiv a(p) \pmod{p^v}$ .

At least in this case one can cook up some examples.

$N \geq 3$  prime to  $p$ ,  $\mathbb{F}_q$ ,  $q \equiv 1 \pmod{N}$ .

$M_{\Gamma(N)}$  lives over  $\mathbb{Z}[\frac{1}{N}, \frac{1}{N!}]$  to start. If we fix

an  $N^{\text{th}}$  root of unity in  $\mathbb{F}_q$ , then we have

$$M_{\Gamma(N)} \otimes \mathbb{F}_q$$

$N \geq 4$  prime to  $p$ .

$M_{\Gamma(N)} \otimes \mathbb{F}_q$  over  $\mathbb{Z}[\frac{1}{N}]$  take universal curve...

Given  $A \equiv a(p)$ , then  $a(p) \equiv 1 + Nq \pmod{N}$ .

$\hookrightarrow$   
power of  $q$ .

So the only conceivable  $A : \exists$  power  $q^{k_0}$  of  $q$  s.t.  $A \equiv 1 + q^{k_0} \pmod{N}$ . (need  $k_0$  to be prime to  $\phi(N)$ )

Suppose we are given  $A$ ,  $p \nmid A$ , and  $|A| \leq 2\sqrt{q}$ . (general mod)

Honda:  $\exists E/\mathbb{F}_q$  with  $a(q) = A$ .

$x^2 - Ax + q$  is char. poly. of  $F$ .

Deligne: As  $p \nmid A$ ,  $\exists$  such an  $E$  s.t.  $E(\mathbb{F}_q)$  is cyclic.

Choose  $k \gg 0$  s.t.  $q^{k_0} \equiv q^k \pmod{N}$ , then  $|A| \leq 2\sqrt{q^k}$ .

We also have  $A \equiv 1 + q^k \pmod{N} \Rightarrow \exists E/\mathbb{F}_{q^k}$  - pt of order

$N$  and  $a(q^k) = A$ . But we needed a closed pt! To get

this, take  $k = 1$  a prime. Then  $E/\mathbb{F}_q$  that gives  $A$ . The only

obstruction to being a closed pt is if it came from  $E_0/\mathbb{F}_q$ .

This cannot happen though from Bombieri above.